

# *Advanced Graphics*

*Ray Tracing*  
*All the maths*

*“Cornell Box”* by Steven Parker, University of Utah.

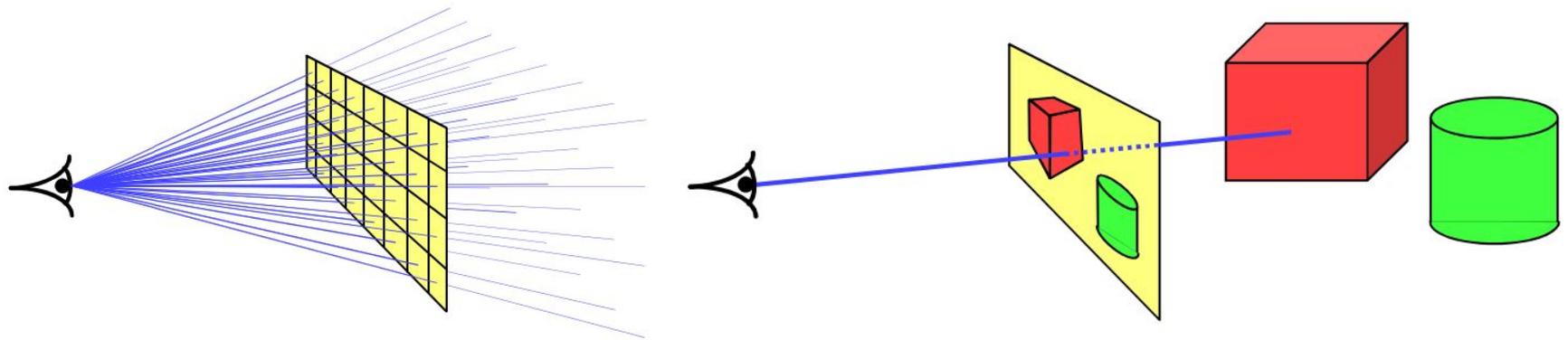
A tera-ray monte-carlo rendering of the Cornell Box, generated in 2 CPU years on an Origin 2000. The full image contains 2048 x 2048 pixels with over 100,000 primary rays per pixel (317 x 317 jittered samples). Over one trillion rays were traced in the generation of this image.

# Ray tracing

---

- A powerful alternative to polygon scan-conversion techniques
- An elegantly simple algorithm:

*Given a set of 3D objects, shoot a ray from the eye through the center of every pixel and see what it hits.*



# The algorithm

---

*Select an eye point and a screen plane.*

for (every pixel in the screen plane):

*Find the ray from the eye through the pixel's center.*

for (each object in the scene):

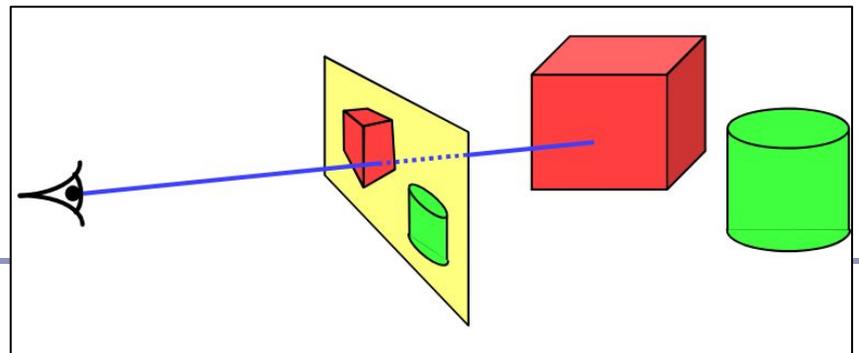
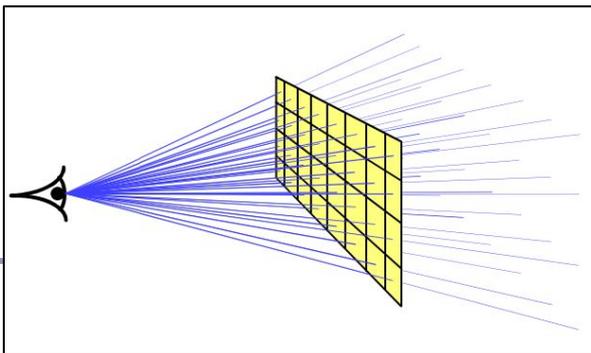
if (the ray hits the object):

if (the intersection is the nearest (so far) to the eye):

*Record the intersection point.*

*Record the color of the object at that point.*

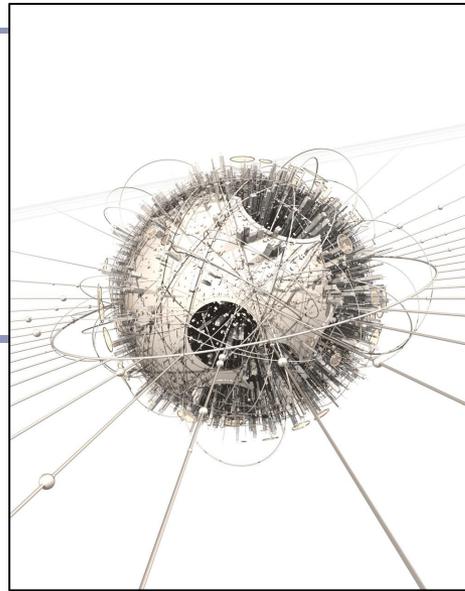
*Set the screen plane pixel to the nearest recorded color.*



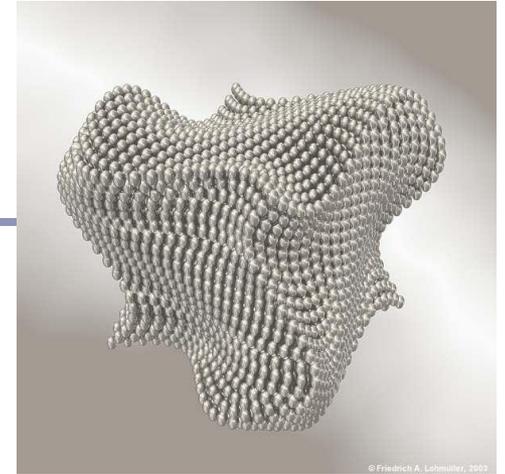
# Examples



"Scherk-Collins sculpture" by  
[Trevor G. Quayle](#) (2008)



"POV Planet" by [Casey Uhrig](#) (2004)



"Dancing Cube" by [Friedrich A. Lohmueller](#) (2003)



© 2004 Tor Olav Kristensen

"Villarceau Circles" by [Tor Olav Kristensen](#) (2004)



"Glasses" by [Gilles Tran](#) (2006)

# It doesn't take much code

The basic algorithm is straightforward, but there's much room for subtlety

- Refraction
- Reflection
- Shadows
- Anti-aliasing
- Blurred edges
- Depth-of-field effects
- ...



```
typedef struct{double x,y,z;}vec;vec U,black,amb={.02,.02,.02};
struct sphere{vec cen,color;double rad,kd,ks,kt,kl,ir;}*s,*best
,sph[]={0.,6.,.5,1.,1.,.9,.05,.2,.85,0.,1.7,-1.,8.,-.5,1.,.5
,.2,1.,.7,.3,0.,.05,1.2,1.,8.,-.5,.1,.8,.8,1.,.3,.7,0.,0.,1.2,3
,-6.,15.,1.,.8,1.,7.,0.,0.,0.,.6,1.5,-3.,-3.,.12.,.8,1.,1.,5.,0
,.0.,0.,.5,1.5,};int yx;double u,b,tmin,sqrt(),tan();double
vdot(vec A,vec B){return A.x*B.x+A.y*B.y+A.z*B.z;}vec vcomb(
double a,vec A,vec B){B.x+=a*A.x;B.y+=a*A.y;B.z+=a*A.z;return
B;}vec vunit(vec A){return vcomb(1./sqrt(vdot(A,A)),A,black);}
struct sphere*intersect(vec P,vec D){best=0;tmin=10000;s=sph+5;
while(s-->sph)b=vdot(D,U=vcomb(-1.,P,s->cen)),u=b*b-vdot(U,U)+
s->rad*s->rad,u=u>0?sqrt(u):10000,u=b-u>0.000001?b-u:b+u,tmin=
u>0.00001&&u<tmin?best=s,u:tmin;return best;}vec trace(int
level,vec P,vec D){double d,eta,e;vec N,color;struct sphere*s,
*l;if(!level--)return black;if(s=intersect(P,D));else return
amb;color=amb;eta=s->ir;d=-vdot(D,N=vunit(vcomb(-1.,P=vcomb(
tmin,D,P),s->cen)));if(d<0)N=vcomb(-1.,N,black),eta=1/eta,d=
-d;l=sph+5;while(l-->sph)if((e=l->kl*vdot(N,U=vunit(vcomb(-1.,P
,l->cen))))>0&&intersect(P,U)==l)color=vcomb(e,l->color,color);
U=s->color;color.x*=U.x;color.y*=U.y;color.z*=U.z;e=1-eta*eta*(
1-d*d);return vcomb(s->kt,e>0?trace(level,P,vcomb(eta,D,vcomb(
eta*d-sqrt(e),N,black))):black,vcomb(s->ks,trace(level,P,vcomb(
2*d,N,D)),vcomb(s->kd,color,vcomb(s->kl,U,black))));}main(){int
d=512;printf("%d %d\n",d,d);while(yx<d*d){U.x=yx*d-d/2;U.z=d/2-
yx++/d;U.y=d/2/tan(25/114.5915590261);U=vcomb(255.,trace(3,
black,vunit(U)),black);printf("%0.f %0.f %0.f\n",U.x,U.y,U.z);}
}/*minray!*/
```

Paul Heckbert's 'minray' ray tracer, which fit on the back of his business card. (circa 1983)

# Running time

---

The ray tracing time for a scene is a function of

(num rays cast) x  
(num lights) x  
(num objects in scene) x  
(num reflective surfaces) x  
(num transparent surfaces) x  
(num shadow rays) x  
(ray reflection depth) x ...



*Image by nVidia*

Contrast this to polygon rasterization: time is a function of the number of elements in the scene times the number of lights.

# Ray-traced illumination

Once you have the point  $P$  (the intersection of the ray with the nearest object) you'll compute how much each of the lights in the scene illuminates  $P$ .

*diffuse* = 0

*specular* = 0

for (each light  $L_i$  in the scene):

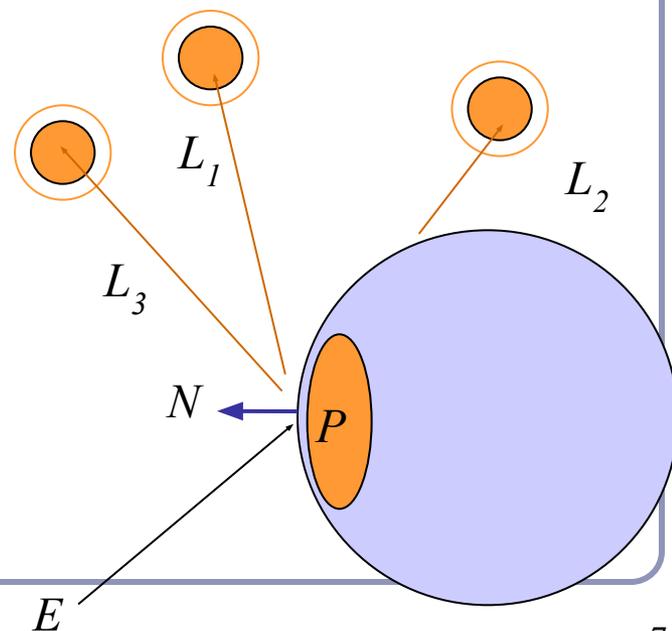
if  $(N \cdot L) > 0$ :

[Optionally: if (a ray from  $P$  to  $L_i$  can reach  $L_i$ ):]

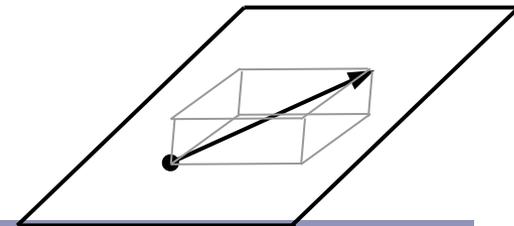
*diffuse* +=  $k_D(N \cdot L)$

*specular* +=  $k_S(R \cdot E)^n$

*intensity at P* = *ambient* + *diffuse* + *specular*



# Hitting things with rays



A ray is defined parametrically as

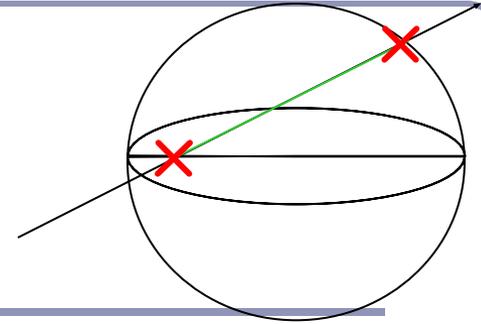
$$P(t) = E + tD, t \geq 0 \quad (\alpha)$$

where  $E$  is the ray's origin (our eye position) and  $D$  is the ray's direction, a unit-length vector.

We expand this equation to three dimensions,  $x$ ,  $y$  and  $z$ :

$$\left. \begin{aligned} x(t) &= x_E + tx_D \\ y(t) &= y_E + ty_D \\ z(t) &= z_E + tz_D \end{aligned} \right\} t \geq 0 \quad (\beta)$$

# Hitting things with rays: Sphere



The unit sphere, centered at the origin, has the implicit equation

$$x^2 + y^2 + z^2 = 1 \quad (\gamma)$$

Substituting equation  $(\beta)$  into  $(\gamma)$  gives

$$(x_E + tx_D)^2 + (y_E + ty_D)^2 + (z_E + tz_D)^2 = 1$$

which expands to

$$t^2(x_D^2 + y_D^2 + z_D^2) + t(2x_E x_D + 2y_E y_D + 2z_E z_D) + (x_E^2 + y_E^2 + z_E^2 - 1) = 0$$

which is of the form

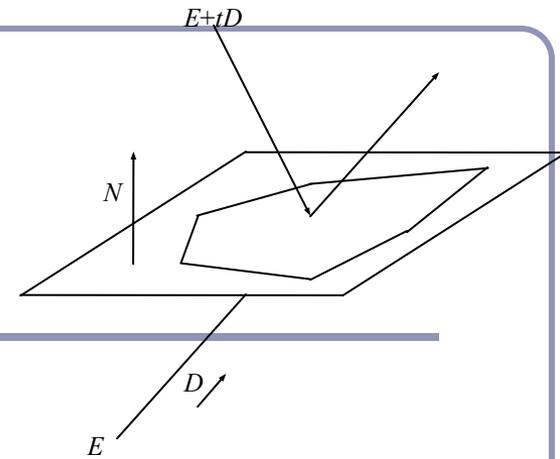
$$at^2 + bt + c = 0$$

which can be solved for  $t$ :

$$t = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

...giving us two points of intersection.

# Hitting things with rays: Planes and polygons



A planar polygon P can be defined as

$$\text{Polygon } P = \{v_1, \dots, v_n\}$$

which gives us the normal to P as

$$N = (v_n - v_1) \times (v_2 - v_1)$$

The equation for the plane of P is

$$N \cdot (p - v_1) = 0$$

( $\zeta$ )

Substituting equation ( $\alpha$ ) into ( $\zeta$ ) for  $p$  yields

$$N \cdot (E + tD - v_1) = 0$$

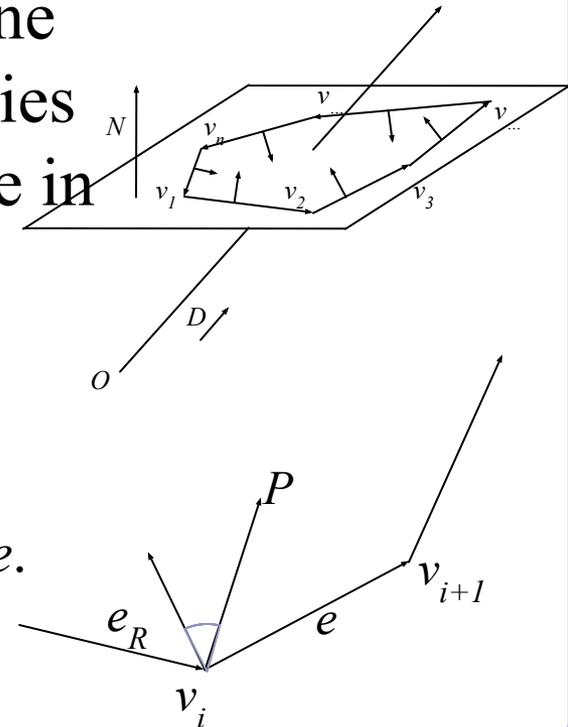
$$x_N(x_E + tx_D - x_{v1}) + y_N(y_E + ty_D - y_{v1}) + z_N(z_E + tz_D - z_{v1}) = 0$$

$$t = \frac{(N \cdot v_1) - (N \cdot E)}{N \cdot D}$$

# Point in convex polygon

## Half-planes method

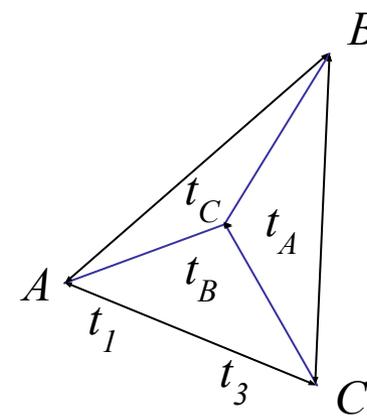
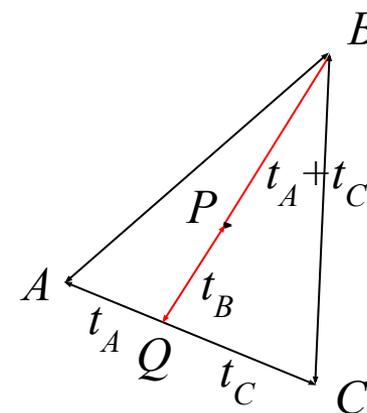
- Each edge defines an infinite half-plane covering the polygon. If the point  $P$  lies in all of the half-planes then it must be in the polygon.
- For each edge  $e = v_i \rightarrow v_{i+1}$ :
  - Rotate  $e$  by  $90^\circ$  CCW around  $N$ .
    - Do this quickly by crossing  $N$  with  $e$ .
  - If  $e_R \cdot (P - v_i) < 0$  then the point is outside  $e$ .
- Fastest known method.



# Barycentric coordinates

*Barycentric coordinates*  $(t_A, t_B, t_C)$  are a coordinate system for describing the location of a point  $P$  inside a triangle  $(A, B, C)$ .

- You can think of  $(t_A, t_B, t_C)$  as ‘masses’ placed at  $(A, B, C)$  respectively so that the center of gravity of the triangle lies at  $P$ .
- $(t_A, t_B, t_C)$  are also proportional to the subtriangle areas.
  - The area of a triangle is  $\frac{1}{2}$  the length of the cross product of two of its sides.



# Barycentric coordinates

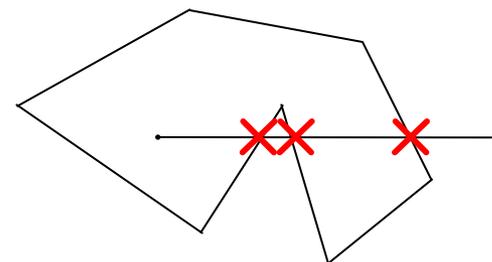
```
// Compute barycentric coordinates (u, v, w) for
// point p with respect to triangle (a, b, c)
vec3 barycentric(vec3 p, vec3 a, vec3 b, vec3 c) {
    vec3 v0 = b - a, v1 = c - a, v2 = p - a;
    float d00 = dot(v0, v0);
    float d01 = dot(v0, v1);
    float d11 = dot(v1, v1);
    float d20 = dot(v2, v0);
    float d21 = dot(v2, v1);
    float denom = d00 * d11 - d01 * d01;
    float v = (d11 * d20 - d01 * d21) / denom;
    float w = (d00 * d21 - d01 * d20) / denom;
    float u = 1.0 - v - w;
    return vec3(u, v, w);
}
```

# Point in nonconvex polygon

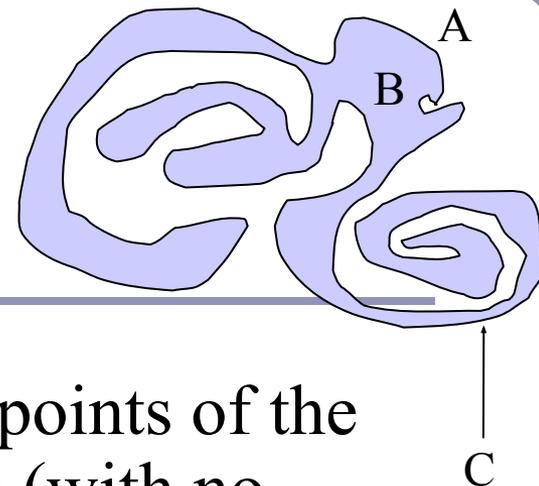
---

## *Ray casting* (1974)

- Odd number of crossings = inside
- Issues:
  - How to find a point that you *know* is inside?
  - What if the ray hits a vertex?
  - Best accelerated by working in 2D
    - You could transform all vertices such that the coordinate system of the polygon has normal = Z axis...
    - Or, you could observe that crossings are invariant under scaling transforms and just project along any axis by ignoring (for example) the Z component.
- Validity proved by the *Jordan curve* theorem



## The *Jordan curve theorem*



“Any simple closed curve  $C$  divides the points of the plane not on  $C$  into two distinct domains (with no points in common) of which  $C$  is the common boundary.”

- First stated (but proved incorrectly) by Camille Jordan (1838 -1922) in his *Cours d'Analyse*.

**Sketch of proof :** (For full proof see Courant & Robbins, 1941.)

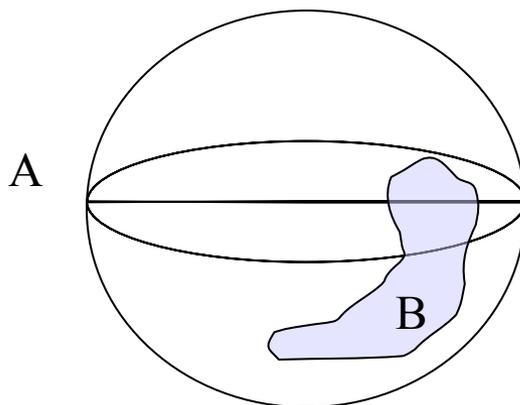
- Show that any point in  $A$  can be joined to any other point in  $A$  by a path which does not cross  $C$ , and likewise for  $B$ .
- Show that any path connecting a point in  $A$  to a point in  $B$  *must* cross  $C$ .

## The Jordan curve theorem on a sphere

---

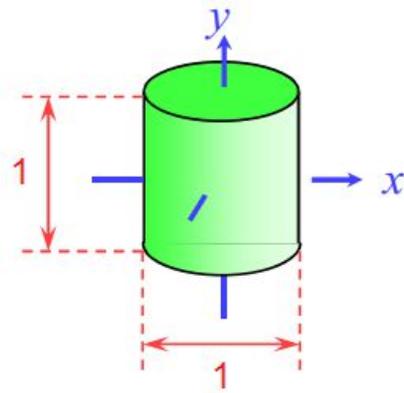
Note that the Jordan curve theorem can be extended to a curve on a sphere, or anything which is topologically equivalent to a sphere.

“Any simple closed curve on a sphere separates the surface of the sphere into two distinct regions.”



# Local coordinates, world coordinates

A very common technique in graphics is to associate a *local-to-world transform*,  $T$ , with a primitive.

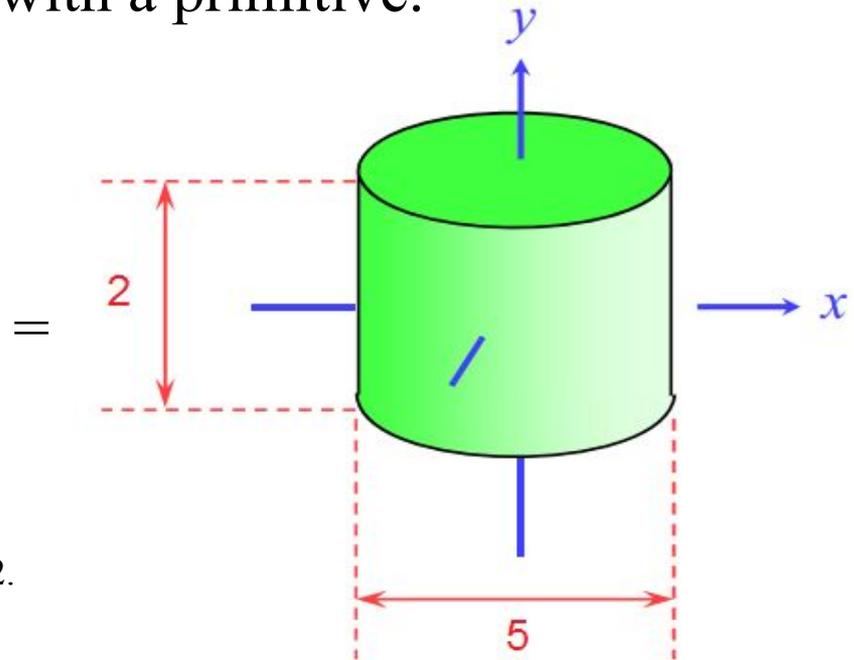


The cylinder “as it sees itself”, in local coordinates

$$*$$

5	0	0	0
0	2	0	0
0	0	5	0
0	0	0	1

A 4x4 *scale matrix*, which multiplies  $x$  and  $z$  by 5,  $y$  by 2.



The cylinder “as the world sees it”, in world coordinates

# Local coordinates, world coordinates: Transforming the ray

In order to test whether a ray hits a transformed object, we need to describe the ray in the object's *local coordinates*. We transform the ray by the *inverse of the local to world matrix*,  $T^{-1}$ .

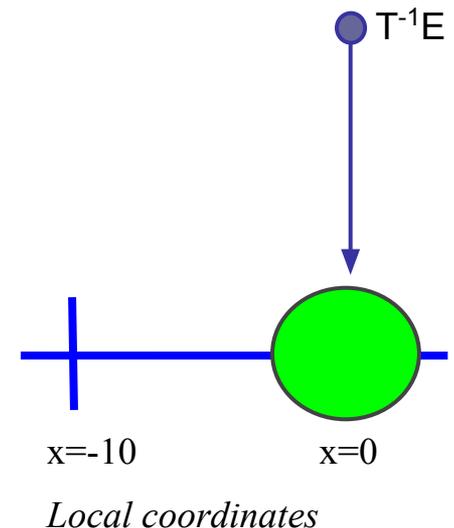
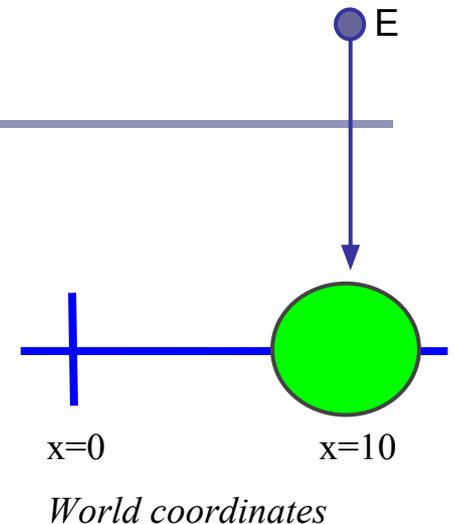
If the ray is defined by

$$P(t) = E + tD$$

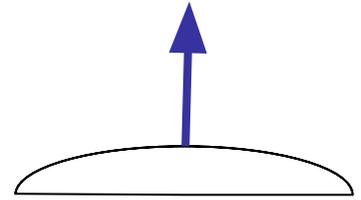
then the ray in local coordinates is defined by

$$T^{-1}(P(t)) = T^{-1}(E) + t(T^{-1}_{3 \times 3}D)$$

where  $T^{-1}_{3 \times 3}$  is the top left 3x3 submatrix of  $T^{-1}$ .



# Finding the normal



We often need to know  $N$ , the *normal to the surface* at the point where a ray hits a primitive.

- If the ray  $R$  hits the primitive  $P$  at point  $X$  then  $N$  is...

<u>Primitive type</u>	<u>Equation for N</u>
Unit Sphere centered at the origin	$N = X$
Infinite Unit Cylinder centered at the origin	$N = [x_x \ y_x \ 0]$
Infinite Double Cone centered at the origin	$N = X \times (X \times [0, 0, z_x])$
Plane with normal $n$	$N = n$

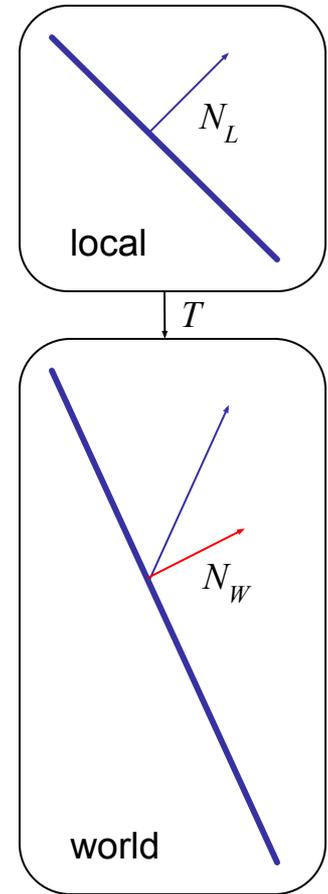
We use the normal for color, reflection, refraction, shadow rays...

# Converting the normal from local to world coordinates

To find the world-coordinates normal  $N$  from the local-coordinates  $N_L$ , multiply  $N_L$  by the transpose of the inverse of the top left-hand 3x3 submatrix of  $T$ :

$$N = ( (T_{3 \times 3})^{-1} )^T N_L$$

- We want the top left 3x3 to discard translations
- For any rotation  $Q$ ,  $(Q^{-1})^T = Q$
- Scaling is unaffected by transpose, and a scale of  $(a,b,c)$  becomes  $(1/a, 1/b, 1/c)$  when inverted



# Local coordinates, world coordinates

## Summary

---

To compute the intersection of a ray  $R=E+tD$  with an object transformed by local-to-world transform  $T$ :

1. Compute  $R'$ , the ray  $R$  in local coordinates, as
$$P'(t) = T^{-1}(P(t)) = T^{-1}(E) + t(T^{-1}_{3 \times 3}(D))$$
2. Perform your hit test in local coordinates.
3. Convert all hit points from local coordinates back to world coordinates by multiplying them by  $T$ .
4. Convert all hit normals from local coordinates back to world coordinates by multiplying them by  $((T^{3 \times 3})^{-1})^T$ .

This will allow you to efficiently and quickly fire rays at arbitrarily-transformed primitive objects.

# Your scene graph and you

---

Many 2D GUIs today favor an event model in which events ‘bubble up’ from child windows to parents. This is sometimes mirrored in a scene graph.

- Ex: a child changes size, changing the size of the parent’s bounding box
- Ex: the user drags a movable control in the scene, triggering an update event

If you do choose this approach, consider using the *Model View Controller* or *Model View Presenter* design pattern. 3D geometry objects are good for displaying data but they are not the proper place for control logic.

- For example, the class that stores the geometry of the rocket should not be the same class that stores the logic that moves the rocket.
- Always separate logic from representation.

# Your scene graph and you

---

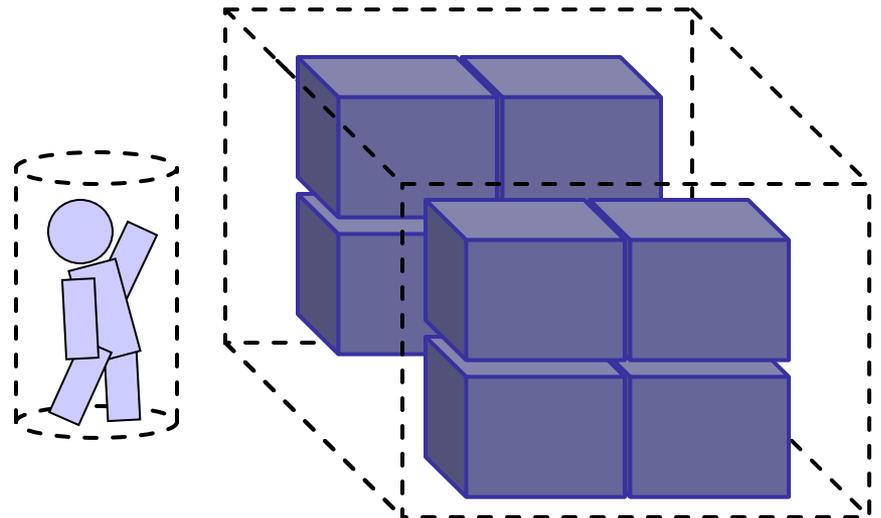
A common optimization derived from the scene graph is the propagation of *bounding volumes*.

Nested bounding volumes allow the rapid culling of large portions of geometry

- Test against the bounding volume of the top of the scene graph and then work down.

Great for...

- Collision detection between scene elements
- Culling before rendering
- Accelerating ray-tracing



# Speed up ray-tracing with *bounding volumes*

---

Bounding volumes help to quickly accelerate volumetric tests, such as “does the ray hit the cow?”

- choose fast hit testing over accuracy
- ‘bboxes’ don’t have to be tight

*Axis-aligned bounding boxes*

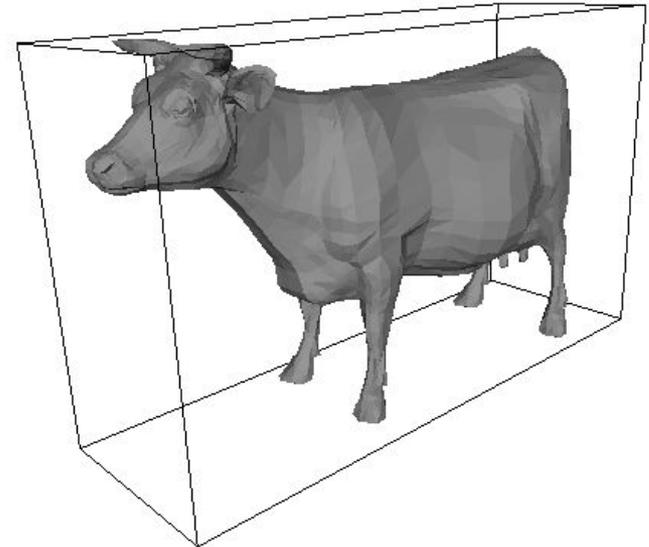
- max and min of x/y/z.

*Bounding spheres*

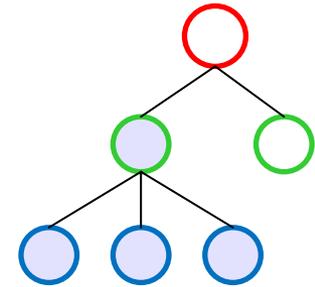
- max of radius from some rough center

*Bounding cylinders*

- common in early FPS games

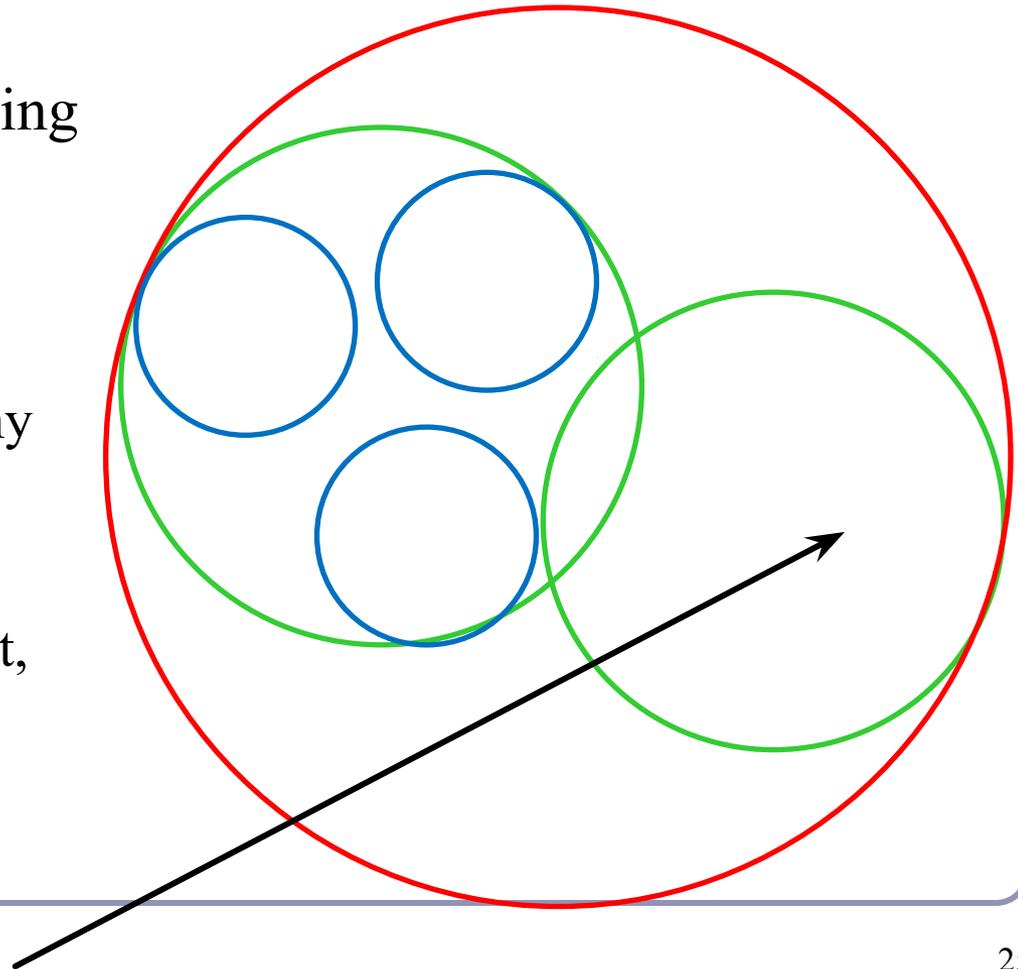


# Bounding volumes in hierarchy



Hierarchies of bounding volumes allow early discarding of rays that won't hit large parts of the scene.

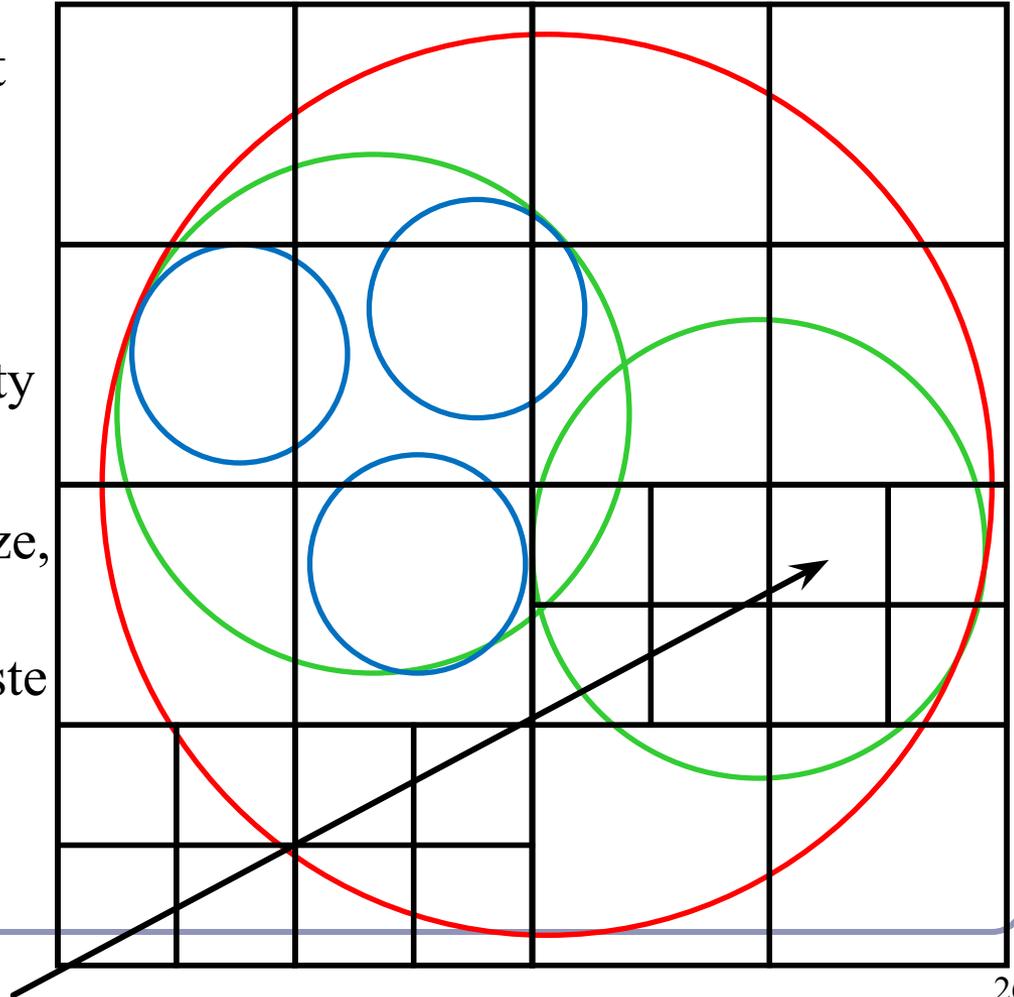
- Pro: Rays can skip subsections of the hierarchy
- Con: Without spatial coherence ordering the objects in a volume you hit, you'll still have to hit-test every object



# Subdivision of space

Split space into cells and list in each cell every object in the scene that overlaps that cell.

- Pro: The ray can skip empty cells
- Con: Depending on cell size, objects may overlap many filled cells or you may waste memory on many empty cells



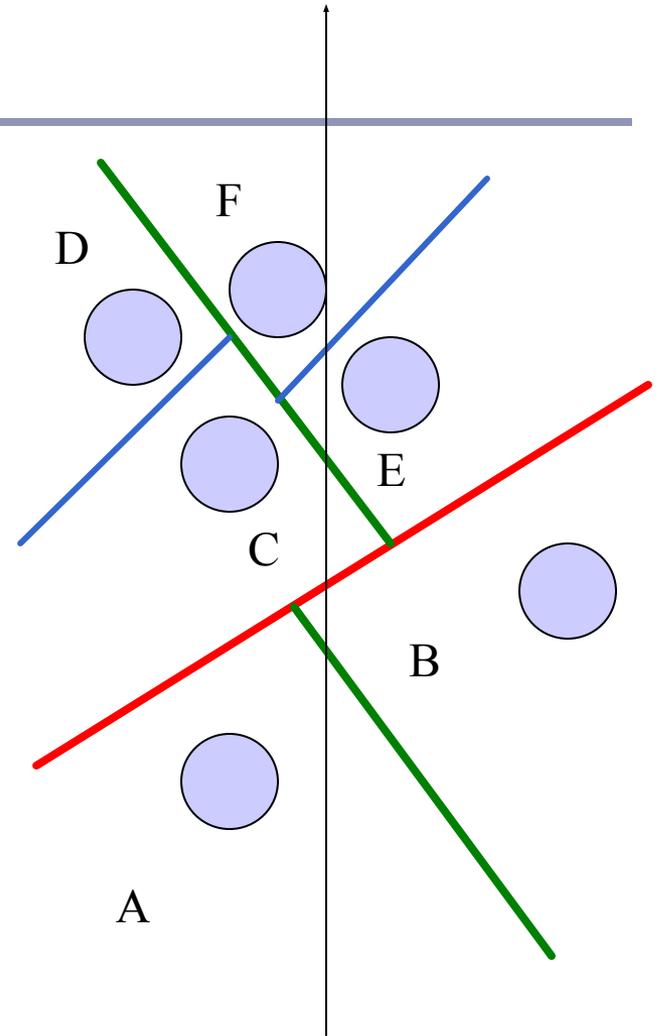
# Popular acceleration structures: BSP Trees

The *BSP tree* partitions the scene into objects in front of, on, and behind a tree of planes.

- When you fire a ray into the scene, you test all near-side objects before testing far-side objects.

## Problems:

- choice of planes is not obvious
- computation is slow
- plane intersection tests are heavy on floating-point math.



# Popular acceleration structures: *kd-trees*

---

The *kd-tree* is a simplification of the BSP Tree data structure

- Space is recursively subdivided by axis-aligned planes and points on either side of each plane are separated in the tree.
- The *kd-tree* has  $O(n \log n)$  insertion time (but this is very optimizable by domain knowledge) and  $O(n^{2/3})$  search time.
- *kd-trees* don't suffer from the mathematical slowdowns of BSPs because their planes are always axis-aligned.

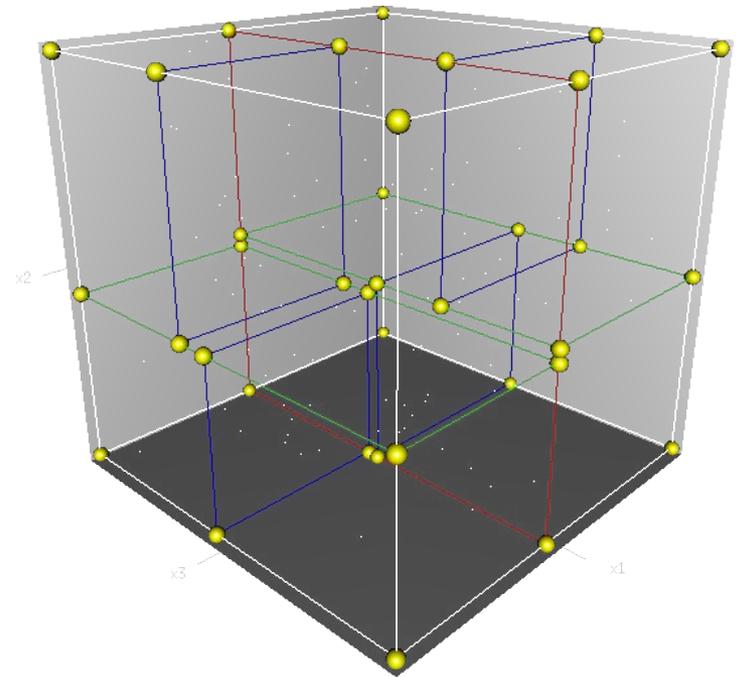


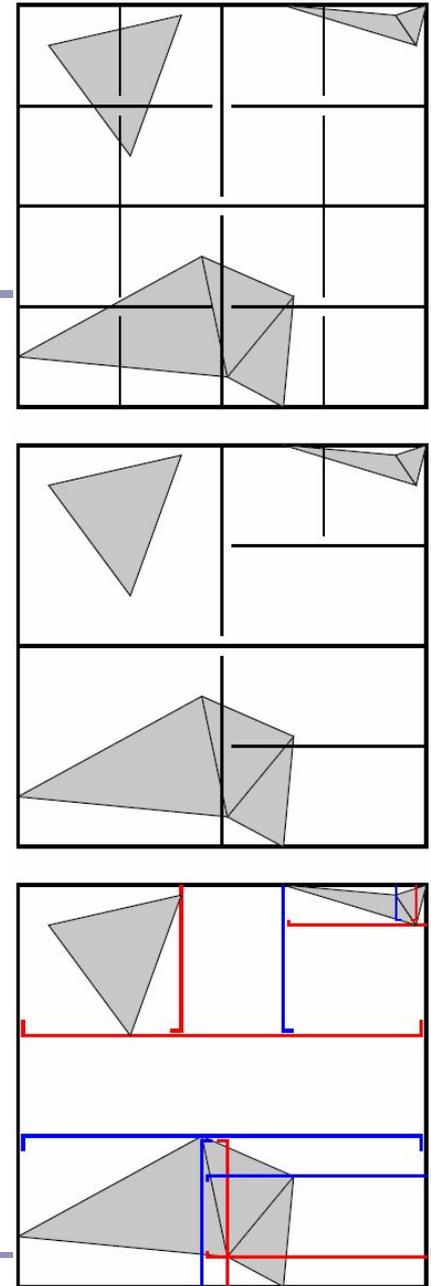
Image from Wikipedia, bless their hearts.

# Popular acceleration structures: *Bounding Interval Hierarchies*

The *Bounding Interval Hierarchy* subdivides space around the volumes of objects and shrinks each volume to remove unused space.

- Think of this as a “best-fit” *kd*-tree
- Can be built dynamically as each ray is fired into the scene

Image from Wächter and Keller's paper,  
*Instant Ray Tracing: The Bounding Interval Hierarchy*, Eurographics (2006)



# References

---

## Jordan curves

R. Courant, H. Robbins, *What is Mathematics?*, Oxford University Press, 1941

<http://cgm.cs.mcgill.ca/~godfried/teaching/cg-projects/97/Octavian/compgeom.html>

## Intersection testing

<http://www.realtimerendering.com/intersections.html>

<http://tog.acm.org/editors/erich/ptinpoly>

<http://mathworld.wolfram.com/BarycentricCoordinates.html>

## Ray tracing

Foley & van Dam, *Computer Graphics* (1995)

Jon Genetti and Dan Gordon, *Ray Tracing With Adaptive Supersampling in Object Space*,

<http://www.cs.uaf.edu/~genetti/Research/Papers/GI93/GI.html> (1993)

Zack Waters, “Realistic Raytracing”,

[http://web.cs.wpi.edu/~emmanuel/courses/cs563/write\\_ups/zackw/realistic\\_raytracing.html](http://web.cs.wpi.edu/~emmanuel/courses/cs563/write_ups/zackw/realistic_raytracing.html)